



COMMONWEALTH OF KENTUCKY
KENTUCKY BOARD OF AUCTIONEERS
9112 LEESGATE RD., #5
LOUISVILLE, KENTUCKY 40222
<http://auctioneers.ky.gov>
(502) 429-7145

FOR BOARD USE ONLY

Date received _____

License # _____

Date Issued _____

Fee _____

Home State _____

LGS, date rec'd _____

RECIPROCAL AUCTIONEER APPLICATION

1. Indicate License: APPRENTICE PRINCIPAL
2. Name (as printed on license & used in advertising): MR. MS.
FIRST MIDDLE LAST
3. Mailing Address (limit of 33 spaces for STREET / PO BOX line):
STREET / PO BOX:
CITY STATE ZIP:
4. Daytime Phone Number, include area code (no punctuation):
5. Date of Birth (m/d/yyyy):
6. Social Security Number (no punctuation):
7. Auction Business Information (limit of 33 spaces each for name and address lines):
BUSINESS NAME:
BUSINESS ADDRESS:
CITY STATE ZIP:
8. Auction Business Phone Number (include area code):
9. Do you have or have you had any type of auction license in any state, including KY?
YES NO If yes, indicate below (attach additional sheet if needed):

STATE	LICENSE TYPE & NUMBER	FROM MO./YR.	TO MO./YR.

10. Legal resident of:
COUNTRY:
STATE / JURISDICTION:

11. Have you ever been denied an auctioneer license in KY or another jurisdiction? YES NO
If yes, attach a separate statement giving complete details.
12. Has disciplinary action ever been taken against your auctioneer license or other professional license in this jurisdiction or another jurisdiction? YES NO
If yes, attach a separate statement giving complete details and copy of final order.
13. Have you ever been convicted on any state/federal felony or misdemeanor charge, other than a minor traffic violation? YES NO
If yes, attach a separate statement giving complete details and a copy of final order.
14. Has a judgment involving personal property or real estate ever been obtained against you or any partner or shareholder of your firm? YES NO
If yes, attach a letter of explanation and copy of final order.
15. All licenses expire June 30th. If applying for a license in May or June, please indicate preference:
Issue license as soon as possible. (renewal fee is also req'd) Issue license effective July 1st.
16. If applying as an apprentice, your Principal Auctioneer must have a KY-issued Principal Auctioneer license.

NAME OF KY PRINCIPAL AUCTIONEER:

17. Applicant's Certification

I hereby apply for a Principal Auctioneer/Apprentice License through Reciprocity in conformity with the Auctioneer Law (KRS 330) and Regulations of the Commonwealth of Kentucky. I certify that all the information is true and correct. I hereby authorize the police department or credit bureau to avail information about me to the Kentucky Board of Auctioneers. I have submitted this and other items as required. In addition, I have enclosed a check for the Reciprocal Initial fee of \$_____, made payable to the Kentucky Board of Auctioneers. I have read and agree to abide by the laws, KRS Chapter 330 and administrative regulations that govern auctioneers in the Commonwealth of Kentucky. <http://auctioneers.ky.gov/law.html>

I am responsible to contact the appropriate branch of state government regarding the collection and remission of sales tax.

I hereby irrevocably consent, stipulate and agree that suits and actions may be commenced against me in the proper court of any county in the Commonwealth in which a cause of action may arise in which the plaintiffs may reside, by the service of any process or pleading authorized by the laws of the Commonwealth of Kentucky on the Kentucky Board of Auctioneers. That such service of such process or pleadings shall be taken and held in all courts to be as valid and binding as if the service had been made upon me in the Commonwealth of Kentucky.

DATE: _____ SIGNATURE: _____

Notary Certification:

I certify this application was subscribed and sworn to before me by _____.
On this _____ day of _____, 20____.

NOTARY SIGNATURE: _____

My commission in the state of _____ expires on _____.

NOTARY SEAL

RECIPROCAL PRINCIPAL AUCTIONEER / APPRENTICE APPLICATION INSTRUCTIONS

A reciprocal applicant is a person who has an active auctioneer license in his/her state of residence for at least one calendar year and that state of residence has a reciprocity agreement with the Kentucky Board of Auctioneers. If the applicant has an apprentice license in his/her home jurisdiction and his/her principal auctioneer has a Kentucky license, the applicant should check the Apprentice license in Question 1. If the applicant has a Principal Auctioneer license (or one classification of auctioneer) in his/her home jurisdiction, then the applicant should check the Principal license in Question 1.

- Contact your home state to forward to the KY Board a "Certification of Licensure/Letter of Good Standing", verifying your initial licensure date, license number, a current active license and status of your license. Some states charge a fee for this service. (This letter/certification may be sent to you by your state and enclosed with this application.)
- Complete Questions 1 through 16 on your computer. Print out and complete, by pen, Section 17.
- Answer all questions and attach a recent 2" x 2" passport-size photo.
- Applicant's signature in Section 17 must be witnessed, certified and sealed by any Notary Public.
- Submit pages 1 & 2 of application, attachments and a check payable to the KY Board of Auctioneers. The licensure fee correlates to your state of residence and licensure:

AL, AR, FL, GA, IN, MA, OH, NC, PA, SC, TN, TX, VA, WV, WI	\$230
MS	\$275
RI	\$300

-- If applying for licensure in May or June, please call the KY Board (502 429-7145) to verify new fiscal year renewal or initial licensure fees.

- All licenses are mailed to the business address. All other correspondence is mailed to the "mailing" address. The business address and business phone numbers are published in the Kentucky Directory and on the Kentucky Board's website.
- The social security number is only used in-house for verification purposes.

SUMMARY OF LICENSING PROCESS

To change your home &/ business, submit notice, the license and a check for \$10. The Kentucky Board of Auctioneers does not license firms, only individuals.

The renewal form is mailed to a licensee's "mailing" address in May. The completed renewal and fee must be received by the Kentucky Board on or before June 30th of each year.

Principal auctioneers will report 6 hours and apprentices will report 3 hours.

Renewals will be accepted only if continuing education (CE) has been earned between July 1st of the previous year and June 30th of a renewal year. The Kentucky Board of Auctioneers sponsors free seminars throughout the year. Kentucky will accept fewer annual hours if your home state's annual requirement is less. If a home state does not have a continuing education requirement, then the licensee must meet Kentucky's requirements.

The Kentucky Board of Auctioneers accepts continuing education courses from Colleges/Universities, Certified Auction Institutes, Auction Schools, KAA, NAA and other state/national auctioneer associations and any State or Federal agencies, boards or commissions. Submit proof of continuing education by fax or mail if CE was earned from a sponsor other than the Kentucky Board of Auctioneers. If the continuing education is not earned in the CE year, the license is canceled.